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Patent

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : MAKISHIMA ET AL.  
Serial No. : 08/945,459  
Filed : DECEMBER 9, 1997  
For : NOVEL PROTEIN AND PROCESS FOR PREPARING THE SAME  
Group Art Unit : 1646  
Examiner : E. KEMMERER

**STATEMENT UNDER 37 C.F.R. §1.821(f) OR §1.825(b) OR (d)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

I hereby certify that:

- The paper Sequence Listing and computer readable Sequence Listing submitted herewith are identical (37 C.F.R. §1.821 (f))
- The substitute paper Sequence Listing and Substitute computer readable Sequence Listing submitted herewith are identical. No new matter is included. (37 C.F.R. §1.825 (b) or (d))

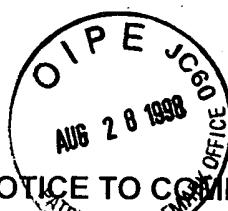
Respectfully submitted,  
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Dated: August 28, 1998

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Application No.: 08/945,459

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- 6. The paper copy of the "Sequence Listing" is not the same as the computer readable from of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- 7. Other: \_\_\_\_\_

**Applicant Must Provide:**

- An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

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